

For any set of variables V and any $k \in \mathbb{N}$, set $V^{(k)}$ as the set of variables $\{v^{(k)} : v \in V\}$. We identify $V^{(0)}$ with V . When $k \geq 1$, $V^{(k)}$ is a **distinct copy** of V . Let also $C \cdot V := \bigsqcup_{k \in \mathbb{N}} V^{(k)}$.

Example

Let the set of variables $V := \{v_1, v_2\}$. We have $C \cdot V = \{v_1^{(0)} = v_1, v_2^{(0)} = v_2, v_1^{(1)}, v_2^{(1)}, v_1^{(2)}, v_2^{(2)}, v_1^{(3)}, v_2^{(3)}, \dots\}$.

Let \mathcal{S} be a signature. For any $\mathcal{S}, C \cdot V$ -term t , the *shift* of t is the $\mathcal{S}, C \cdot V$ -term $\text{shift} \cdot t := \bar{\sigma} \cdot t$ where σ is any $\mathcal{S}, C \cdot V$ -substitution satisfying $\sigma \cdot v^{(k)} = v^{(1+k)}$ for any $v^{(k)} \in C \cdot V$.

Example

By considering the previous set of variables V , $\text{shift} \cdot a_2 \langle a_1 v_1 \rangle \langle a_2 v_1^{(3)} v_2^{(1)} \rangle = a_2 \langle a_1 v_1^{(1)} \rangle \langle a_2 v_1^{(4)} v_2^{(2)} \rangle$.

The *disjunction* of a pair (t, t') of $\mathcal{S}, C \cdot V$ -terms is the pair (t, t'^{\bullet}) where $t'^{\bullet} := \text{shift}^{\ell} \cdot t'$ and ℓ is the smallest natural number such that all superscripts of the variables of t are smaller than all superscripts of the variables of t'^{\bullet} .

Example

The disjunction of the pair $(a_2 v_1 v_2^{(3)}, a_3 v_1^{(1)} v_2 v_1^{(5)})$ is $(a_2 v_1 v_2^{(3)}, a_3 v_1^{(5)} v_2^{(4)} v_1^{(9)})$.

For any TRS $\mathcal{T} := (\mathcal{S}, \mathcal{V}, \rightarrow)$, let the TRS $C\cdot\mathcal{T} := (\mathcal{S}, C\cdot\mathcal{V}, \rightarrow)$ where this second occurrence of \rightarrow is the elementary rewrite relation of \mathcal{T} extended to the set $\mathfrak{T}\cdot\mathcal{S}\cdot[C\cdot\mathcal{V}]$. In this way, the rewrite relation \Rightarrow of $C\cdot\mathcal{T}$ is the rewrite relation of \mathcal{T} extended to $\mathfrak{T}\cdot\mathcal{S}\cdot[C\cdot\mathcal{V}]$.

Definition

Let $\mathcal{T} := (\mathcal{S}, \mathcal{V}, \rightarrow)$ be a TRS. Let $r_1 := (t_1, t'_1)$ and $r_2 := (t_2, t'_2)$ be two rewrite rules of $C\cdot\mathcal{T}$ such that t'_2 overlaps t_1 at an overlapping position u , where (t_1, t'_2) is the disjunction of (t_1, t_2) .

- A *critical data* of \mathcal{T} is a triple (r_1, u, r_2) such that $r_1 \neq r_2$ or $u \neq \epsilon$.
- The *critical term* associated with the critical data (r_1, u, r_2) of \mathcal{T} is the fusion of t'_2 at position u into t_1 . This critical term is an $\mathcal{S}, C\cdot\mathcal{V}$ -term.
- The *critical pair* associated with the critical data (r_1, u, r_2) of \mathcal{T} is the pair (s_1, s_2) of $\mathcal{S}, C\cdot\mathcal{V}$ -terms such that, by denoting by t the critical term associated with (r_1, u, r_2) , s_1 is obtained by a one-step rewrite at root from t by using r_1 in $C\cdot\mathcal{T}$, and s_2 is obtained by a one-step rewrite at position u from t by using r_2 in $C\cdot\mathcal{T}$.

Example

Let the TRS $\mathcal{T} := (\mathcal{S}_{\mathbb{N}^2}, \mathcal{V}_{\mathbb{N}}, \rightarrow)$ such that

$$r_1 := c_{2,0} \underline{c_{2,1} v_1} \underline{c_{2,1} v_2 v_3} v_4 \rightarrow c_3 v_1 v_2 v_3$$

and

$$r_2 := c_{2,1} \underline{c_{2,0} v_1 v_2} \underline{c_{2,1} v_3 v_4} \rightarrow c_1 v_1.$$

- The triple (r_1, l, r_2) is a critical data of \mathcal{T} .
- The $\mathcal{S}_{\mathbb{N}^2}, \mathcal{C} \cdot \mathcal{V}_{\mathbb{N}}$ -term

$$t := c_{2,0} \underline{c_{2,1} \underline{c_{2,0} v_1^{(1)} v_2^{(1)}}} \underline{c_{2,1} v_3^{(1)} v_4^{(1)}} v_4$$

is the critical term associated with this critical data.

- The critical pair associated with this critical data is

$$\left(c_3 \underline{c_{2,0} v_1^{(1)} v_2^{(1)}} v_3^{(1)} v_4^{(1)}, c_{2,0} \underline{c_1 v_1^{(1)}} v_4 \right).$$

A critical data (r_1, u, r_2) of a TRS \mathcal{T} is *joinable* if s_1 and s_2 are joinable in $C\cdot\mathcal{T}$, where (s_1, s_2) is the critical pair associated with (r_1, u, r_2) .

Theorem [Critical pairs and local confluence]

A TRS $\mathcal{T} := (\mathcal{S}, \mathcal{V}, \rightarrow)$ is locally confluent iff all critical data of \mathcal{T} are joinable.

This leads to the following **algorithm** to prove that a TRS \mathcal{T} having a finite elementary rewrite relation is confluent:

- (1) Prove that \mathcal{T} is terminating (for instance, by one of the methods seen in the previous chapter).
- (2) List all critical data of \mathcal{T} .
- (3) For each critical pair (s_1, s_2) of these critical data, compute the future of s_1 and s_2 in $C\cdot\mathcal{T}$ and exhibit a common element.

By Theorems [Newman's Lemma] and [Critical pairs and local confluence], these steps show the confluence of \mathcal{T} .

The fact that the elementary rewrite relation of \mathcal{T} is finite implies that \mathcal{T} admits finitely many critical data. Moreover, Step (1) implies that the computation of the future of any elements of a critical pair ends. Therefore, the previous steps form an algorithm.

Example

Let $DA := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \mathcal{S} is the signature containing two binary constants a and b , and \rightarrow is defined through the four rewrite rules

- $\square r_1 := a|a12|3 \rightarrow a1|a23|;$
- $\square r_3 := b1|a23| \rightarrow b|b12|3;$
- $\square r_2 := b|a12|3 \rightarrow a1|b23|;$
- $\square r_4 := b1|b2|b34| \rightarrow a|b1|b23|4.$

This TRS is introduced in [S. Giraud, *Combinatorial operads from monoids*, 2015].

We assume that we have proven that DA is terminating.

This TRS contains the following critical data: $(r_1, 1, r_1)$, $(r_2, 1, r_1)$, (r_2, ϵ, r_3) , (r_2, ϵ, r_4) , $(r_3, 2, r_1)$, (r_3, ϵ, r_2) , (r_4, ϵ, r_2) , $(r_4, 2, r_2)$, $(r_4, 22, r_2)$, $(r_4, 22, r_3)$, $(r_4, 2, r_4)$, and $(r_4, 22, r_4)$.

The critical data $(r_4, 2, r_4)$ has $b1|b1^{(1)}|b2^{(1)}|b3^{(1)}4^{(1)}|$ as critical term and is joinable since

$$b1|b1^{(1)}|b2^{(1)}|b3^{(1)}4^{(1)}| \Rightarrow a|b1|b1^{(1)}2^{(1)}|b3^{(1)}4^{(1)}| =: s$$

and

$$b1|b1^{(1)}|b2^{(1)}|b3^{(1)}4^{(1)}| \Rightarrow b1|a|b1^{(1)}|b2^{(1)}3^{(1)}|4^{(1)}| \Rightarrow b|b1|b1^{(1)}|b2^{(1)}3^{(1)}|4^{(1)}| \Rightarrow b|a|b1|b1^{(1)}2^{(1)}|3^{(1)}4^{(1)}| \Rightarrow s.$$

Exercise ●●○○

Show that all other critical data of DA are joinable.

Exercise ○○○○

Let $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \mathcal{S} is the signature containing two unary constants f and g , and \rightarrow is defined through the two rewrite rules $f \underline{g \underline{f 1}} \rightarrow 1$ and $f \underline{g 1} \rightarrow g \underline{f 1}$. List all critical data of \mathcal{T} .

Exercise ○○○○

Let $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \mathcal{S} is the signature containing a nullary constant u , a unary constant i , and a binary constant m , and \rightarrow is defined through the three rewrite rules $m \underline{u 1} \rightarrow 1$, $m \underline{i 1} \rightarrow u$, $m \underline{m 1 2 3} \rightarrow m 1 \underline{m 2 3}$.

1. List all critical data of \mathcal{T} .
2. Show that there are some critical data of \mathcal{T} which are not joinable.

Exercise ○○○○

Let $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \mathcal{S} is the signature containing a nullary constant e and two binary constants m and s , and \rightarrow is defined through the seven rewrite rules $m \underline{le} \rightarrow 1$, $m \underline{e 1} \rightarrow 1$, $s \underline{le} \rightarrow 1$, $s \underline{e 1} \rightarrow e$, $s \underline{1 1} \rightarrow e$, $s \underline{m 1 2 3} \rightarrow m \underline{s 1 3} \underline{s 2 \underline{s 3 1}}$, and $s \underline{1 \underline{m 2 3}} \rightarrow s \underline{s 1 2 3}$.

Apply the previously described algorithm to show that \mathcal{T} is confluent.

/ Confluence

8.3. Orthogonality

A critical data (r_1, u, r_2) of a TRS \mathcal{T} is *trivial* if $s_1 = s_2$ where (s_1, s_2) is the critical pair associated with (r_1, u, r_2) .

Definition

Let $\mathcal{T} := (\mathcal{S}, \mathcal{V}, \rightarrow)$ be a TRS. When

- all left-hand sides of rewrite rules of \mathcal{T} are linear, \mathcal{T} is *left-linear*;
- \mathcal{T} admits no critical data, \mathcal{T} is *non-overlapping*;
- all critical data of \mathcal{T} are trivial, \mathcal{T} is *weakly non-overlapping*;
- \mathcal{T} is (weakly) non-overlapping and left-linear, \mathcal{T} is *(weakly) orthogonal*.

Examples

Let \mathcal{S} be the signature containing two nullary constants t and f , and one binary constant o .

- Let $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \rightarrow is defined through the three rewrite rules $r_1 := ot1 \rightarrow t$, $r_2 := o1t \rightarrow t$, and $off \rightarrow f$. The critical data (r_1, ϵ, r_2) is trivial and \mathcal{T} is *weakly orthogonal*.
- Let $\mathcal{T}' := (\mathcal{S}, \mathbb{N}, \rightarrow')$ be the TRS such that \rightarrow' is defined through the two rewrite rules $ot1 \rightarrow' t$ and $of1 \rightarrow' 1$. The TRS \mathcal{T}' is *orthogonal*.

Theorem [Confluence of weakly orthogonal TRSs]

Any weakly orthogonal TRS is confluent.

This result is important to establish the confluence of TRSs which are **not terminating**.

The condition to be **left-linear** for a weakly orthogonal TRS is **necessary**.

Example

Let $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \mathcal{S} is the signature containing three nullary constants i , t , and f , a unary constant s , and a binary constant e , and \rightarrow is defined through the three rewrite rules $i \rightarrow si$, $e11 \rightarrow t$, and $e1\underline{1s1} \rightarrow f$.

This TRS \mathcal{T} is non-overlapping but is not left-linear.

Since

$$eii \Rightarrow t \quad \text{and} \quad eii \Rightarrow ei\underline{1s1} \Rightarrow f,$$

the \mathcal{S}, \mathbb{N} -term eii has two normal forms. Therefore, \mathcal{T} is not confluent.

Example

Let $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ be the TRS such that \mathcal{S} is the signature containing a nullary constant a , a unary constant k , and binary constant f , and \rightarrow is defined through the three rewrite rules $r_1 := fa1 \rightarrow k1$, $r_2 := f1a \rightarrow k1$, and $k1 \rightarrow fa1$.

First, \mathcal{T} is **not terminating** because

$$k1 \Rightarrow fa1 \Rightarrow k1 \Rightarrow fa1 \Rightarrow \dots$$

leads to an infinite rewrite sequence in \mathcal{T} .

Second, **all critical data of \mathcal{T} are trivial**. Indeed, the only critical data of \mathcal{T} are (r_1, ϵ, r_2) and (r_2, ϵ, r_1) . For both these critical data, the associated critical term is faa , and the associated critical pair is (ka, ka) .

Therefore, by Theorem [Confluence of weakly orthogonal TRSs], \mathcal{T} is confluent.

/ Confluence

8.4. Completion

Let \mathcal{S} be a signature, \mathcal{V} be a set of variables, and \rightsquigarrow be a reduction relation on $\mathcal{T} \cdot \mathcal{S} \cdot \underline{\mathcal{C} \cdot \mathcal{V}}$.

Let the ARS $\text{Completion}_{\mathcal{S}, \mathcal{V}, \rightsquigarrow} := (\{\text{Fail}\} \cup \mathcal{P} \cdot \underline{\mathcal{T} \cdot \mathcal{S} \cdot \mathcal{C} \cdot \mathcal{V}}^2, \Rightarrow)$ such that, for any $S \in \mathcal{P} \cdot \underline{\mathcal{T} \cdot \mathcal{S} \cdot \mathcal{C} \cdot \mathcal{V}}$,

1. [Critical pair join]

$$S \Rightarrow S \sqcup \{(t_1, t_2)\}$$

if there exists a critical pair (s_1, s_2) of a critical data of the TRS $\mathcal{T} := (\mathcal{S}, \mathcal{C} \cdot \mathcal{V}, S)$, such that t_1 is a normal form of s_1 in \mathcal{T} and t_2 is a normal form of s_2 in \mathcal{T} , and $t_1 \neq t_2$.

2. [Reduction clash]

$$S \Rightarrow \text{Fail}$$

if there exists $(t_1, t_2) \in S$ such that $t_1 \not\rightsquigarrow t_2$.

This ARS leads to the **Knuth-Bendix completion Algorithm** [D. Knuth, P. Bendix, Simple Words Problems in Universal Algebras, 1970].

The original Knuth-Bendix completion Algorithm works on sets of **identities** (unordered pairs of $\mathcal{S}, \mathcal{C} \cdot \mathcal{V}$ -terms) rather than elementary rewrite relations (ordered pairs of $\mathcal{S}, \mathcal{C} \cdot \mathcal{V}$ -terms).

Let \mathcal{S} be a signature, \mathcal{V} be a set of variables, and \rightsquigarrow be a reduction relation on $\mathcal{T}\mathcal{S}\cdot\mathcal{C}\mathcal{V}$.

Given a TRS $\mathcal{T} := (\mathcal{S}, \mathcal{V}, S)$, the ARS Completion $_{\mathcal{S}, \mathcal{V}, \rightsquigarrow}$ is used by computing a normal form of the set S and, when this normal form is a set S' , by considering the TRS $(\mathcal{S}, \mathcal{C}\mathcal{V}, S')$ as the result.

Example

Let \mathcal{S} be the signature containing two binary constants a and b . Let us consider the set of variables \mathbb{N} . Let \rightsquigarrow be the \succ, ω -Knuth-Bendix relation where \succ satisfies $b \succ a$, and ω is the $\mathcal{S}, \mathcal{C}\mathbb{N}, \succ$ -weight function defined by $\omega_{\mathcal{C}\mathbb{N}} := 1$, $\omega \cdot a = 3$, and $\omega \cdot b = 2$.

Let $S := \{(a|a12|3, a1|b23|)\}$. In Completion $_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$, we have

$$S \Rightarrow \{(a|a12|3, a1|b23|), (t_1, t_2)\}$$

with the following definitions.

By denoting by r the element of S , we have that $(r, 1, r)$ is a critical data of the TRS $(\mathcal{S}, \mathcal{V}, S)$. The critical term associated with this critical data is $t := a|a|a1^{(1)}2^{(1)}|3^{(1)}|3$. The associated critical pair is (s_1, s_2) where $s_1 := a|a1^{(1)}2^{(1)}|b3^{(1)}|3|$ and $s_2 := a|a1^{(1)}|b2^{(1)}3^{(1)}|3$.

We have moreover

$$s_1 \Rightarrow a1^{(1)}|b2^{(1)}|b3^{(1)}|3| =: t_2 \quad \text{and} \quad s_2 \Rightarrow a1^{(1)}|b|b2^{(1)}3^{(1)}|3| =: t_1.$$

These two normal forms t_1 and t_2 satisfy $t_1 \rightsquigarrow t_2$.

Due to the following results, the ARS $\text{Completion}_{\mathcal{S}, \mathcal{V}, \rightsquigarrow}$, where \mathcal{S} is a signature, \mathcal{V} is a set of variables, and \rightsquigarrow is a reduction relation on $\mathfrak{T} \cdot \mathcal{S} \cdot \underline{\mathcal{C} \cdot \mathcal{V}}$, computes exactly what it is designed to compute.

Exercise ○○○○○

Show that $\text{Completion}_{\mathcal{S}, \mathcal{V}, \rightsquigarrow}$ is neither terminating nor confluent, where \mathcal{S} is a signature, \mathcal{V} is a set of variables, and \rightsquigarrow is a reduction relation on $\mathfrak{T} \cdot \mathcal{S} \cdot \underline{\mathcal{C} \cdot \mathcal{V}}$.

Theorem [Normal forms of $\text{Completion}_{\mathcal{S}, \mathcal{V}, \rightsquigarrow}$]

Let $\mathcal{T} := (\mathcal{S}, \mathcal{V}, \rightarrow)$ be a TRS and \rightsquigarrow be a \mathcal{T} -compatible reduction relation on $\mathfrak{T} \cdot \mathcal{S} \cdot \underline{\mathcal{C} \cdot \mathcal{V}}$. All normal forms of the set \rightarrow in $\text{Completion}_{\mathcal{S}, \mathcal{V}, \rightsquigarrow}$ which are different of Fail are binary relations S on $\mathfrak{T} \cdot \mathcal{S} \cdot \underline{\mathcal{C} \cdot \mathcal{V}}$ such that $\mathcal{T}' := (\mathcal{S}, \mathcal{C} \cdot \mathcal{V}, S)$ is a convergent TRS such that $\equiv_{\mathcal{T}'} = \equiv_{\mathcal{C} \cdot \mathcal{T}}$.

In other terms, given a terminating TRS $\mathcal{T} := (\mathcal{S}, \mathcal{V}, \rightarrow)$, a normal form of \rightarrow in $\text{Completion}_{\mathcal{S}, \mathcal{V}, \rightsquigarrow}$ is an elementary rewrite relation S such that the TRSs $(\mathcal{S}, \mathcal{C} \cdot \mathcal{V}, S)$ and $\mathcal{C} \cdot \mathcal{T}$ are **convertibility equivalent**, and $(\mathcal{S}, \mathcal{C} \cdot \mathcal{V}, S)$ is **convergent** (even if $\mathcal{C} \cdot \mathcal{T}$ is not).

Example

Let the TRS $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ such that \mathcal{S} is the signature containing the binary constant m , and \rightarrow is defined through the rewrite rule $r_1 := m \underline{m12} \mid \underline{m23} \rightarrow 2$. Let also \rightsquigarrow be any \mathcal{T} -compatible reduction relation.

The critical data of \mathcal{T} are $(r_1, 1, r_1)$ and $(r_1, 2, r_1)$.

The critical term associated with the first critical data is

$$t := m \underline{m \underline{m1^{(1)}2^{(1)}} \mid \underline{m2^{(1)}3^{(1)}}} \mid \underline{m \underline{m2^{(1)}3^{(1)}} \mid 3}$$

and the critical pair associated with this critical data is (s_1, s_2) where

$$s_1 := m2^{(1)}3^{(1)} \quad \text{and} \quad s_2 := m2^{(1)} \underline{m \underline{m2^{(1)}3^{(1)}} \mid 3}.$$

These two $\mathcal{S}, \mathbb{C}\cdot\mathbb{N}$ -terms are normal forms of $\mathbb{C}\cdot\mathcal{T}$. Therefore, $\text{Completion}_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$ adds to \rightarrow the new rewrite rule $r_2 := (s_2, s_1)$, in this order, since s_2 contains s_1 as a subterm.

Similarly, the second critical data makes $\text{Completion}_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$ to add to the set $\{r_1, r_2\}$ the new rewrite rule

$$r_3 := (m \underline{m \underline{m1^{(1)}2^{(1)}} \mid 2^{(1)}}, m1^{(1)}2^{(1)}).$$

We can check (with some further work) that $\{r_1, r_2, r_3\}$ is a normal form of $\text{Completion}_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$. Therefore, the TRS $(\mathcal{S}, \mathbb{C}\cdot\mathbb{N}, \{r_1, r_2, r_3\})$ is convergent.

Example

Let the TRS $\mathcal{T} := (\mathcal{S}, \mathbb{N}, \rightarrow)$ such that \mathcal{S} is the signature containing the binary constant m , and \rightarrow is defined through the rewrite rules $r_1 := m \underline{m12}3 \rightarrow m1 \underline{m23}$ and $r_2 := m11 \rightarrow 1$. Let also \rightsquigarrow be any \mathcal{T} -compatible reduction relation.

The critical term associated with the critical data $(r_1, 1, r_1)$ of \mathcal{T} is $m \underline{m1^{(1)}1^{(1)}}3$ and the critical pair associated with this critical data is (s_1, s_2) where $s_1 := m1^{(1)} \underline{m1^{(1)}3}$ and $s_2 := m1^{(1)}3$. These two $\mathcal{S}, \mathbb{C}\mathbb{N}$ -terms are normal forms of $\mathcal{C}\mathcal{T}$. Therefore, $\text{Completion}_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$ adds to \rightarrow the new rewrite rule $r_3 := (s_1, s_2)$.

Let the TRS $\mathcal{T}' := (\mathcal{S}, \mathbb{C}\mathbb{N}, \{r_1, r_2, r_3\})$. The critical term associated with the critical data $(r_1, 1, r_3)$ of \mathcal{T}' is $m \underline{m1^{(2)} \underline{m1^{(2)}3^{(1)}}}3$ and the critical pair associated with this critical data is (s_1, s_2) where $s_1 := m1^{(2)} \underline{m \underline{m1^{(2)}3^{(1)}}}3$ and $s_2 := m \underline{m1^{(2)}3^{(1)}}3$. We have that $s'_1 := m1^{(3)} \underline{m1^{(3)} \underline{m3^{(1)}3}}$ and $s'_2 := m1^{(3)} \underline{m3^{(1)}3}$ are respective normal forms of s_1 and s_2 in \mathcal{T}' . Therefore, $\text{Completion}_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$ adds to $\{r_1, r_2, r_3\}$ the new rewrite rule $r_4 := (s'_1, s'_2)$.

Exercise ○○○○

Let us consider the previous TRS \mathcal{T} . Show that for any \mathcal{T} -compatible reduction relation \rightsquigarrow , the set \rightarrow admits no normal different from `Fail` in the ARS $\text{Completion}_{\mathcal{S}, \mathbb{N}, \rightsquigarrow}$. In other words, prove that there is no confluent completion of \mathcal{T} .